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PTO/SB/05 (03-01)

Approved for use through 07/31/2006. OMB 0651-0032

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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small> | Attorney Docket No. YOR920030520US1 | |
| | First Inventor | HUNTER, et al |
| | Title | Method and Apparatus for Monitoring Event Occurrences |
| | Express Mail Label No. | EV 413180995US |

U.S. PTO
10/725153
22388



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| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
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|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 19] <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]</p> <p>5. Oath or Declaration [Total Pages 4] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p style="text-align: center;">ACCOMPANYING APPLICATIONS PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: Associate Power of Attorney</p> |
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18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____ / ____

Prior application information: Examiner: ____ Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation **can only** be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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|---|-----------------------------------|------------------|---|-----------------|----------------|
| 17. CORRESPONDENCE ADDRESS | | | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label | | | <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <small>(Insert Customer No. or Attach bar code label here)</small> | | |
| or <input checked="" type="checkbox"/> Correspondence address below | | | | | |
| Name | Moser, Patterson & Sheridan | | | | |
| Address | 595 Shrewsbury Avenue - Suite 100 | | | | |
| City | Shrewsbury | State | NJ | Zip Code | 07702 |
| Country | USA | Telephone | (732) 530-9404 | Fax | (732) 530-9808 |

| | | | |
|--------------------------|--------------|--|------------------|
| Name (Print/Type) | KIN-WAH TONG | Registration No. (Attorney/Agent) | 39,400 |
| Signature | | Date | December 1, 2003 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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| <h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2003</h2> <p style="margin: 0; font-size: small;">Effective 01/01/2003. Patent fees are subject to annual revision.</p> | | <p>Complete if Known</p> | |
| <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27 | | Application Number | To Be Assigned |
| | | Filing Date | Herewith |
| | | First Named Inventor | HUNTER |
| | | Examiner Name | To Be Assigned |
| | | Group / Art Unit | To Be Assigned |
| TOTAL AMOUNT OF PAYMENT | | (\$) | 990.00 |
| | | Attorney Docket No. | YOR920030520US1 |

| | | | | | | | |
|--|----------|----------|----------|--|----------|--|--|
| <p>METHOD OF PAYMENT (check all that apply)</p> <p> <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None </p> <p> <input checked="" type="checkbox"/> Deposit Account </p> <div style="display: flex; justify-content: space-between;"> <div> Deposit Account Number <div style="border: 1px solid black; padding: 2px; width: 150px;">20-0782</div> </div> <div> Deposit Account Name <div style="border: 1px solid black; padding: 2px; width: 200px;">Moser, Patterson & Sheridan</div> </div> </div> <p>The Director is authorized to: (Check all that apply)</p> <p> <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments </p> <p> <input type="checkbox"/> Charge any additional fee(s) during pendency of this application </p> <p> <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account </p> | | | | <p>FEE CALCULATION (continued)</p> | | | |
| <p>3. ADDITIONAL FEES</p> | | | | | | | |
| <p>Large Entity Small Entity</p> | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| 1053 | 130 | 1053 | 130 | Non-English specification | | | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | | | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | | | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | | | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | | | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | | | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | | | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | | | |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | | |
| 1502 | 480 | 2502 | 240 | Design issue fee | | | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | | | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | | | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40 | | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | |
| Other fee (specify) _____ | | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | | | |
| SUBTOTAL (3) | | | | | (\$) 40 | | |

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|----------------------------|--------------|---------------------------------|--------|--|------------------|
| <p>SUBMITTED BY</p> | | | | <p>Complete (if applicable)</p> | |
| Name (Print/Type) | Kin-Wah Tong | Registration No. Attorney/Agent | 39,400 | Telephone | (732) 530-9404 |
| Signature | | | | Date | December 1, 2003 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.